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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Applicati n Num b r	10/609,266
	Filing Date	Jun 26, 2003
	First Named Inventor	Allard
	Group Art Unit	3713
	Examiner Name	NGUYEN, KIM T
Total Number of Pages in This Submission	Attorney Docket Number	MS1-1499US

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) Sheets <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTO Form 1449; 45 cited references; return postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Allan T. Sponseller, Reg. No. 38,318
Signature	
Date	1/12/04

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: 1/12/04	
Typed or printed name	Carly E. Taylor
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Date	1/12/04

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PATENT & TRADEMARK OFFICE

PTO/SB/17 (10-03)

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PATENT & TRADEMARK OFFICE FEE TRANSMITTAL

for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 0.00

Complete if Known

Application Number 10/609,266
Filing Date Jun 26, 2003
First Named Inventor Allard
Examiner Name NGUYEN, KIM T
Art Unit 3713
Attorney Docket No. MS1-1499US

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number
Deposit Account Name

12-0769

Lee & Hayes, PLLC

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$) 0.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0.00

SUBMITTED BY

Name (Print/Type) Allan T. Sponseller

Registration No. 38,318
(Attorney/Agent)

Telephone (509) 324-9256

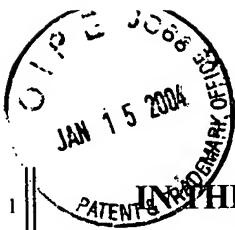
Signature

Date 1/12/04

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No. 10/609,266
Filing Date Jun 26, 2003
Inventorship Allard
Applicant Microsoft Corporation
Attorney's Docket No. MS1-1499US
Title: Saving Audio Source Identifiers for Soundtracks in a Gaming System

INFORMATION DISCLOSURE STATEMENT

References -- See Attached Form PTO-1449

REMARKS

The citations listed, copies attached, are submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

Respectfully Submitted,

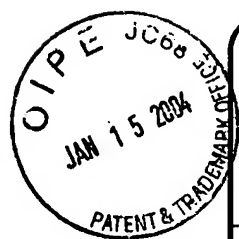
Date: 1/12/04

By: 

Allan T. Sponseller
Reg. No. 38,318

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Substitute for form 1449A/PTO			Compleat if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>			Application Number	10/609,266	
			Filing Date	Jun 26, 2003	
			First Named Inventor	Allard	
			Group Art Unit	3713	
			Examiner Name	NGUYEN, KIM T.	
Sheet	1	of	3	Attorney Docket Number	MS1-1499US

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		6,299,535		Tanaka	10-09-2001	
		4,296,476		Mayer et al.	10-20-1981	
		4,432,067		Nielsen	02-14-1984	
		4,905,280		Wiedemer	02-27-1990	
		5,382,983		Kwoh et al.	01-17-1995	
		5,459,487		Bouton	10-17-1995	
		5,550,575		West et al.	08-27-1996	
		5,551,701		Bouton et al.	09-03-1996	
		5,598,276		Cookson et al.	01-28-1997	
		5,634,849		Abecassis	06-03-1997	
		5,649,862		Sakaguchi et al.	07-22-1997	
		5,716,273		Yuen	02-10-1998	
		5,791,992		Crump et al.	08-11-1998	
		5,878,134		Handelman et al.	03-02-1999	
		5,896,125		Niedzwiecki	04-20-1999	
		5,917,256		Broadbent, II	06-29-1999	
		5,973,683		Cragun et al.	10-26-1999	
		5,978,920		Lee	11-02-1999	
		6,396,531		Gerszberg et al.	05-28-2002	
		6,312,336		Handelman et al.	12-06-2001	

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴ <i>(if known)</i>				
			WO 00/40027	Lang et al.	07-06-2000		
			</				

Examiner Signature		Date Considered	
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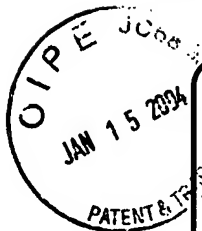
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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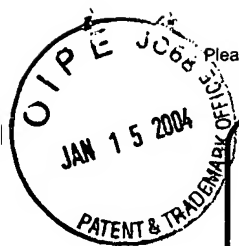
SUBSTITUTE FOR FORM 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Complete if Known			
		Application Number	10/609,266		
		Filing Date	Jun 26, 2003		
		First Named Inventor	Allard		
		Group Art Unit	3713		
		Examiner Name	NGUYEN, KIM T.		
Sheet	2	of	3	Attorney Docket Number	MS1-1499US

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Examiner Signature		Date Considered	
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Substitute for form 1449B/PTO		Compl t if Known	
		Application Number	10/609,266
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Filing Date	Jun 26, 2003
		First Named Inventor	Allard
		Group Art Unit	3713
		Examiner Name	NGUYEN, KIM T.
		Attorney Docket Number	MS1-1499US
Sheet	3	of	3

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Dean Takahashi, "Microsoft goes gaming.(Product Development)," Electronic Business, May, 2000, 2 pages.	
		Press Pass, "Xbox to Deliver Ultimate Console Gaming Experience," San Jose, Calif., March 10, 2000, 3 pages, 2001.	
		IGN.com, "What Is the 64DD?," September 17, 1997, 9 pages.	
		IGN.com, "The 64DD: Nintendo's Disk Drive,-Everythingyou ever wanted to know about the N64's first major add-on," January 28, 1998, 7 pages.	
		IGN.com, "The 64DD Connection- Here's how the 64DD and the Nintendo 64 connect," October 20, 1999, 5 pages.	
		IGN.com,"IGN64's Ultimate 64DD FAQ- Everything you ever wanted to know about the upcoming disk drive add-on. Updated: 12/13," December 15, 1999, 12 pages.	
		c/net NEWS.COM, "U.S. version of Playstation2 to have modem, hard drive," By Bloomberg News, April 14, 2000, 2 pages.	
		ZDNetUK NEWS, Rick Lehrbaum, LinuxDevices.com, Special to ZDNet, "Linux fuels game console plus," 07 July 2000, 3 pages.	
		CNET Gamecenter.com, Todd Mowatt, "Indrema: Linux-Based Console, August 11, 2000, 2 pages.	
		Planet GeForce,"Interviews-John Gildred of Indrema on the L600," Interviewed by Christopher "Razor" Gordon, 10/19/00, 5 pages.	
		Links 386CD, 1995, Access Software, Inc., pp. 1-87.	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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